

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Serial No. 10/657,765

**APPLICANT:** Samuel Roland Palfenier, et al.

**TITLE:** CAP ASSEMBLY FOR SEALING SYSTEM AND METHOD OF ASSEMBLING SAME

**FILED:** September 8, 2003

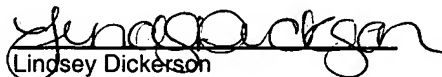
**ART UNIT:** 2635

**ATTORNEY DOCKET:** DP-309637

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

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Date

  
Lindsey Dickerson

**STATEMENT ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Applicant(s) requests the Examiner to consider and make of record the reference(s) and/or information disclosed herein or on the attached PTO 1449.

**CHECK ONE: (A, B, or C.)**

☒ A. This statement is submitted within 1) three months after the filing date (even if after the first action); or 2) within three months of the date of entry of the national stage or 3) before the mailing date of a first Office Action on the merits. No fee or statement is required.

☐ B. This statement is submitted after the period specified in para. A, but before Final Office Action or Notice of Allowance or the close of prosecution.

**CHECK ONE: (1, 2, or 3)**

☐ 1. I hereby state that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

**U.S.S.N. 10/185,340 (DP-301324) – 2**

☐ 2. I hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ 3. Charge the \$180 fee set forth in 37 CFR §1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.

☐ C. This statement is submitted after a Final Office Action or Notice of Allowance or the close of prosecution, but before payment of the issue fee. Charge the \$180 fee set forth in 37 CFR §1.17(p) to Delphi Technologies, Inc. Deposit Account No. 50-0831.

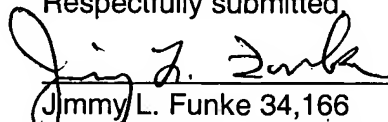
**CHECK ONE: (1 or 2)**

☐ 1. I hereby state that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2. I hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

If any additional fee or any additional amount of fee be required in connection with this Information Disclosure Statement, Applicant respectfully requests that such fee or amount of fee be charged to Delphi Technologies, Inc. Deposit Account No. 50-0831.

Respectfully submitted,

  
Jimmy L. Funke 34,166  
Delphi Technologies, Inc.  
Telephone (248) 813-1214

enc: PTO 1449 and references

**INFORMATION DISCLOSURE CITATION WITH DOCUMENT COPIES**

Submitted by:

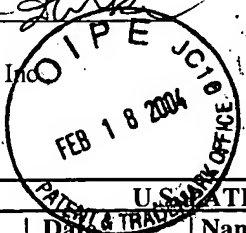
Atty. Docket No.  
DP-309637Serial No.  
10/657,765

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Delphi Technologies, Inc.

Applicant  
SAMUEL ROLAND PALFENIER

Reg. No. 34,166

Filing Date  
September 8, 2003Group  
2635**U.S. PATENT DOCUMENTS**

Exam. Init.	Document Number	Date	Name	Class	Sub Class	Filing Date (if approp.)
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	6,453,670	09/24/2002	Kottmyer			
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	6,360,540	03/26/2002	Kottmyer			
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**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation Yes   No
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner

Date Considered

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**Form PTO-FB-A820 (also PTO-1449) Patent & Trademark Office-US Dept. of Commerce**

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